

Behavioral Cloning

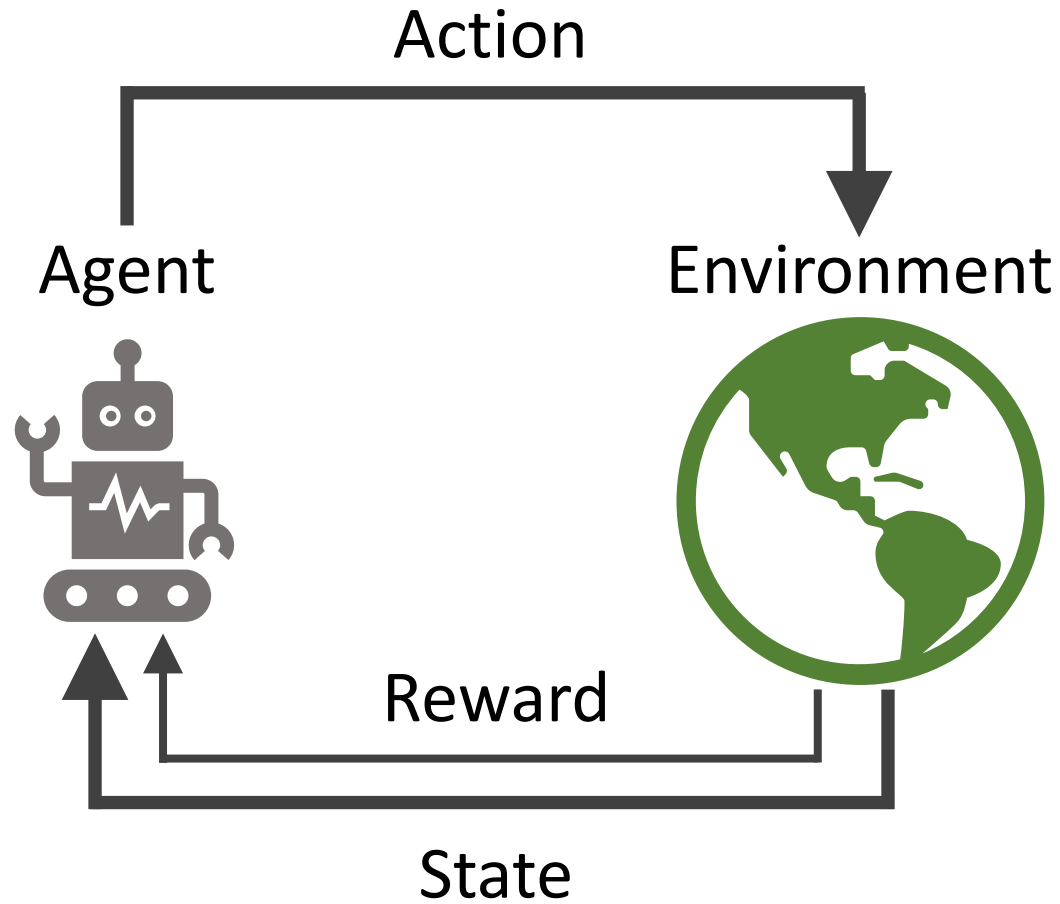
CMPT 729 G100

Jason Peng

Overview

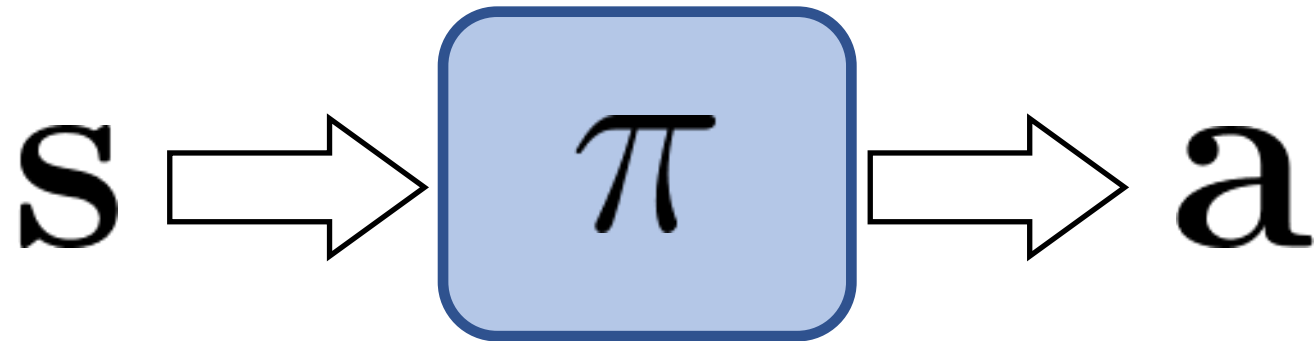
- Behavioral Cloning
- Drift
- Theoretical Analysis
- DAgger
- Applications

Agent-Environment Interface



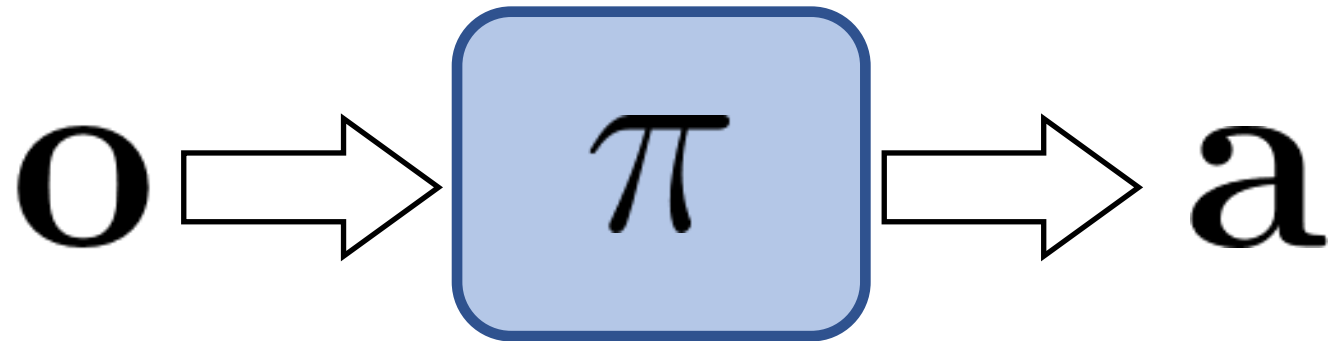
Policy

$$\pi(\mathbf{a}|\mathbf{s})$$



Policy

$$\pi(\mathbf{a}|\mathbf{o})$$

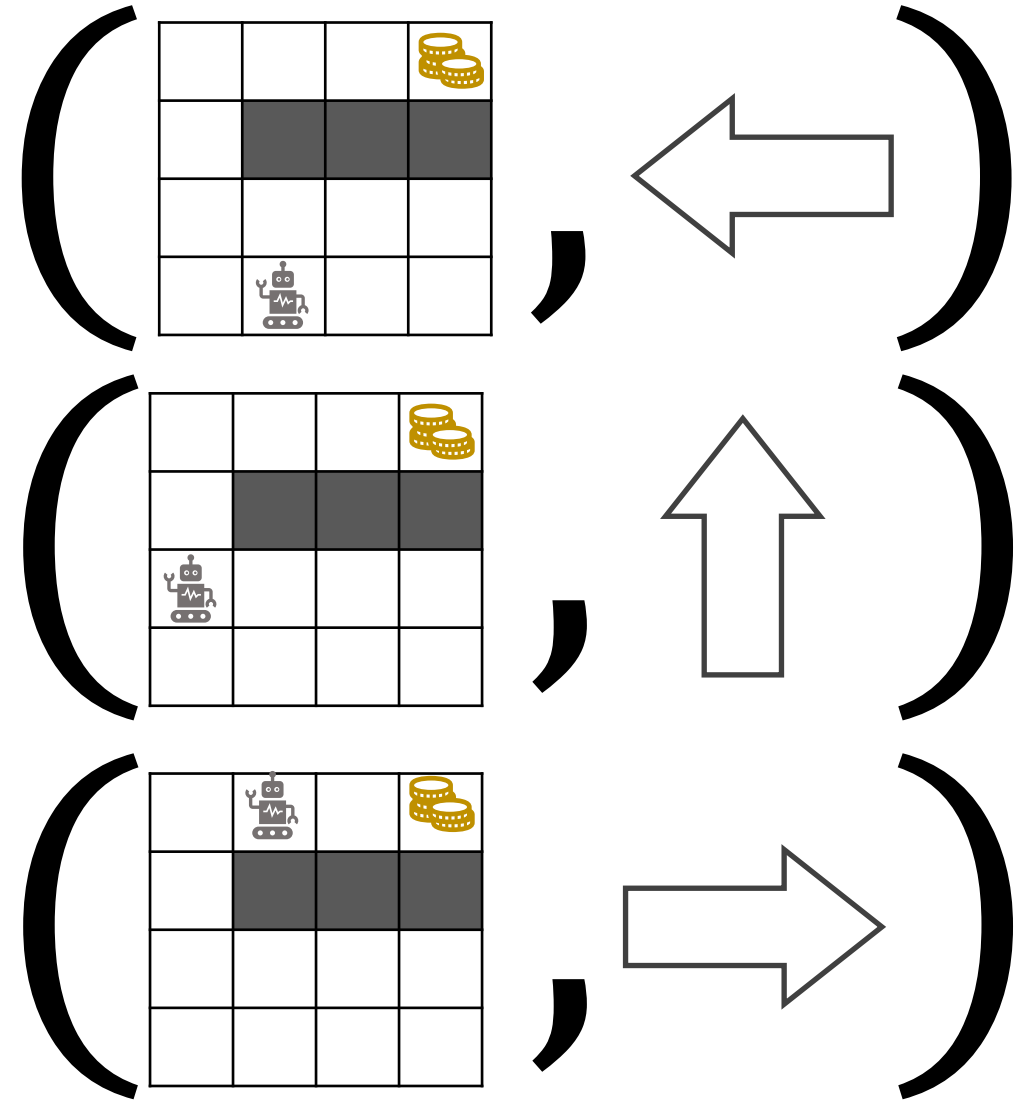


Supervised Learning

$\{(\mathbf{o}_0, \mathbf{a}_0), (\mathbf{o}_1, \mathbf{a}_1), \dots\}$



Dataset



Supervised Learning

$$\{(\mathbf{o}_0, \mathbf{a}_0), (\mathbf{o}_1, \mathbf{a}_1), \dots\}$$



Dataset



Nvidia Automotive Simulation
[NVIDIA]

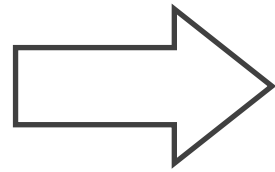


Supervised Learning

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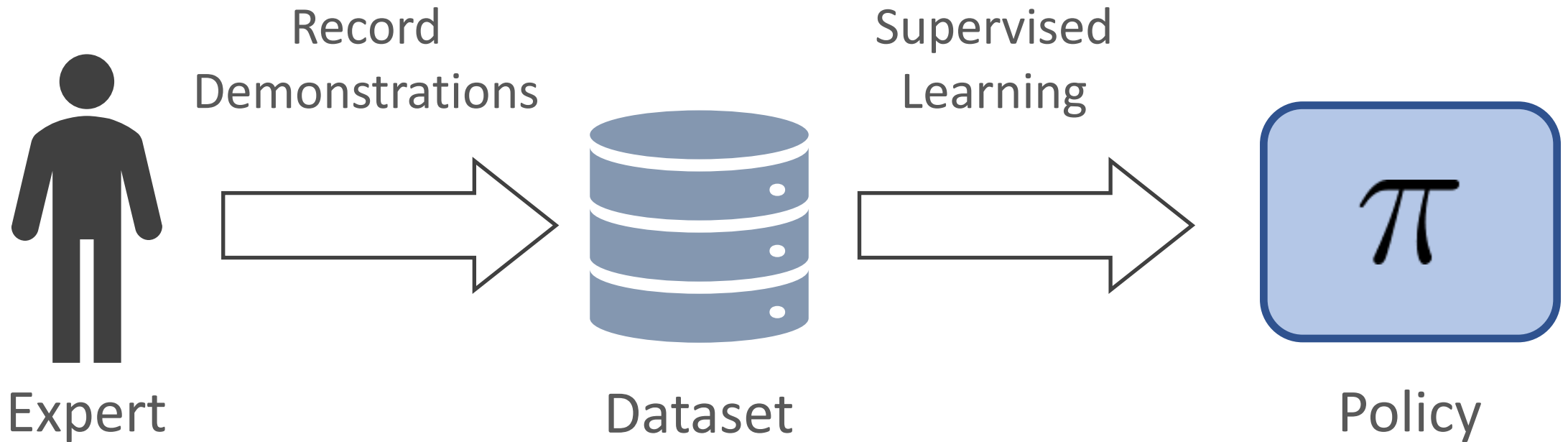
Dataset



$$\min_{\pi} \mathbb{E}_{(\mathbf{o}, \mathbf{a}) \sim \mathcal{D}} [-\log \pi(\mathbf{a}|\mathbf{o})]$$

Behavioral Cloning

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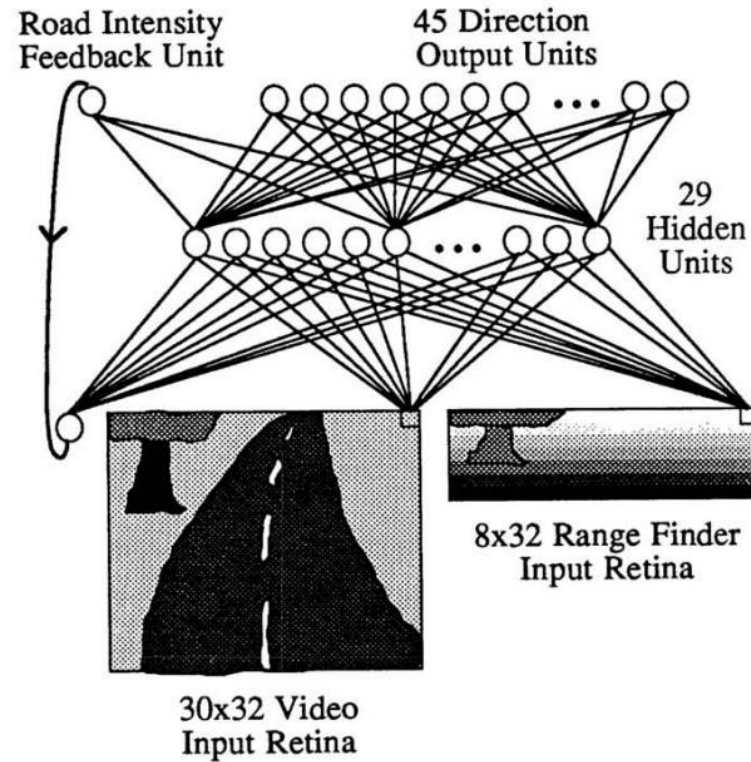
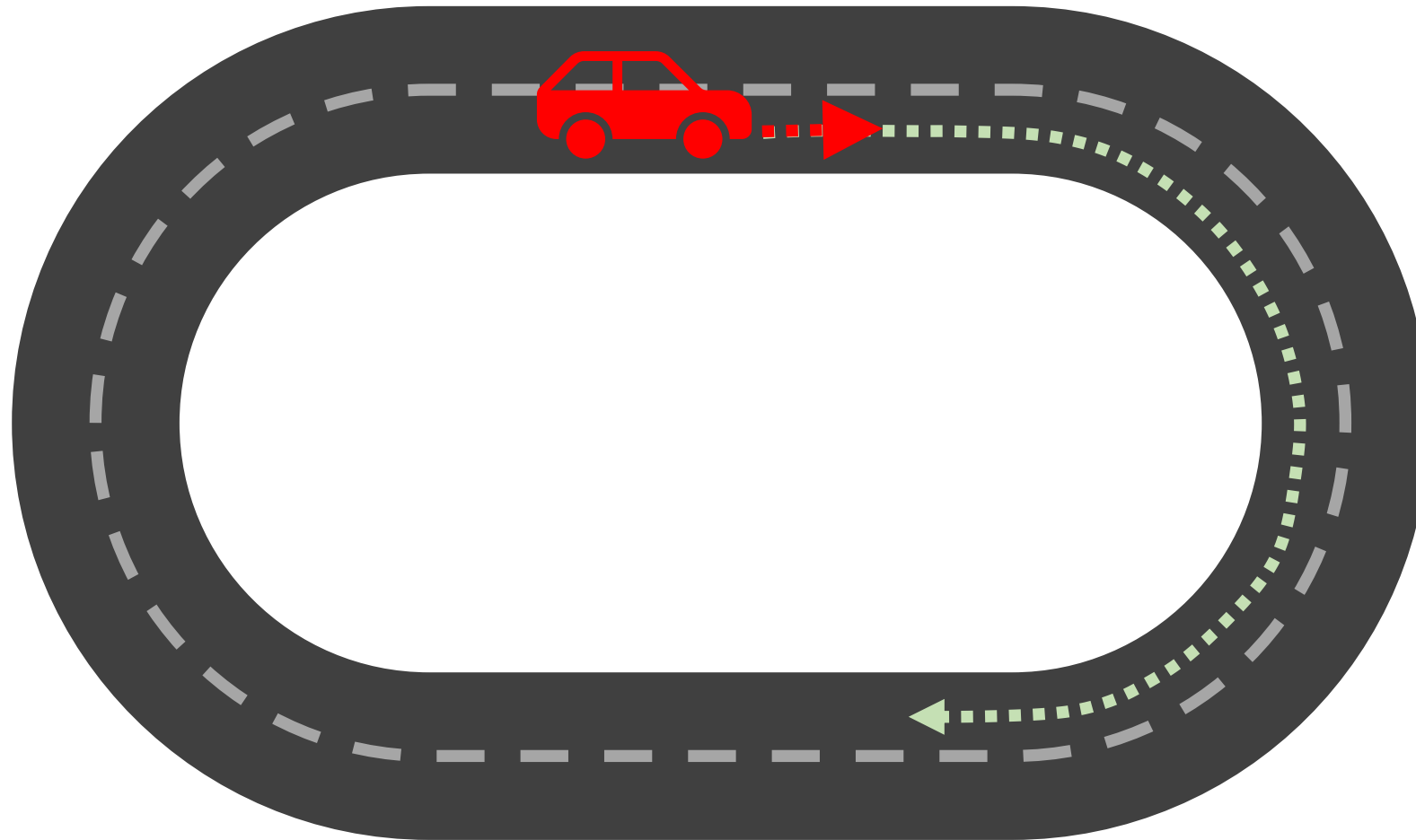


Figure 1: ALVINN Architecture

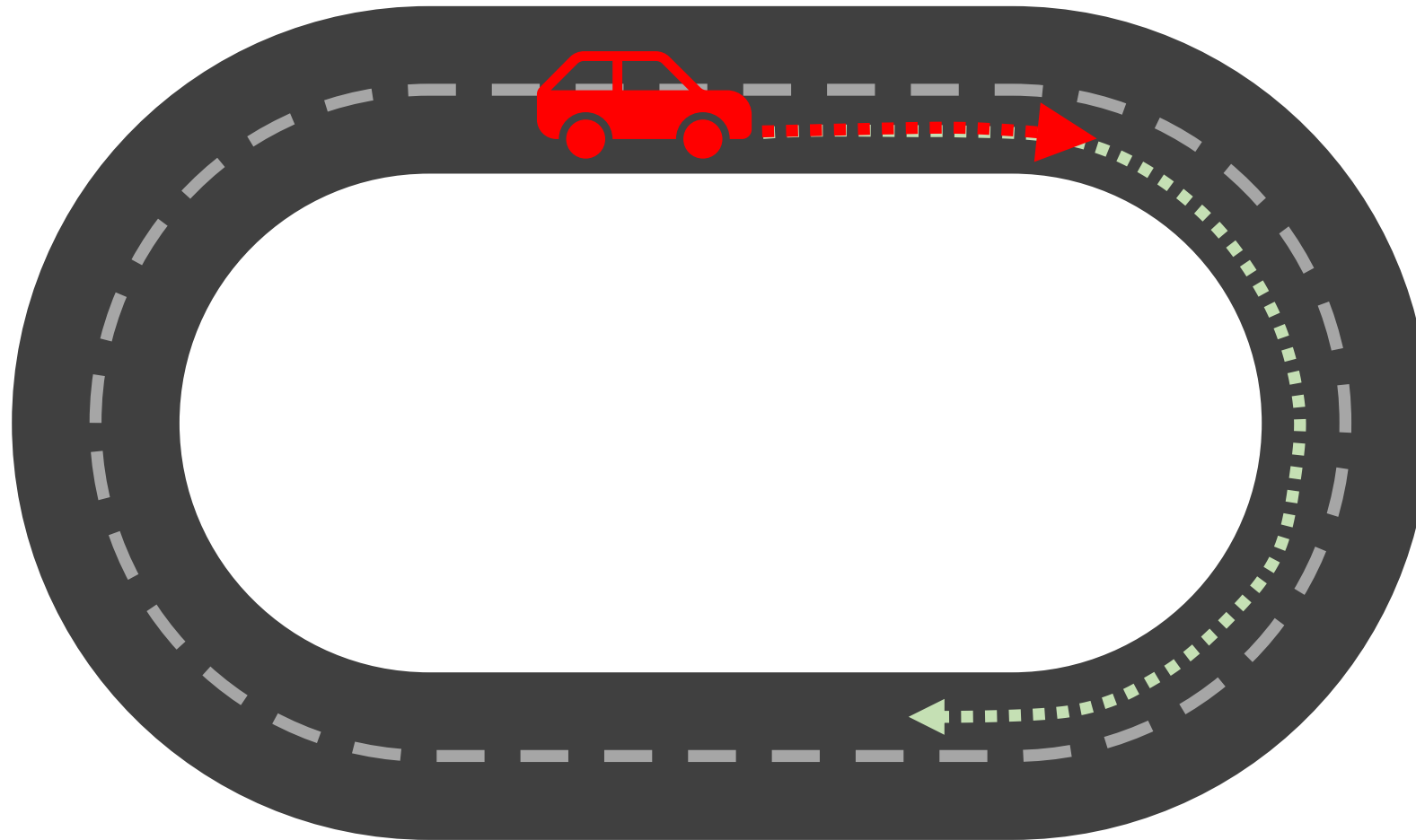


ALVINN: An Autonomous Land Vehicle in a Neural Network
[Pomerleau 1989]

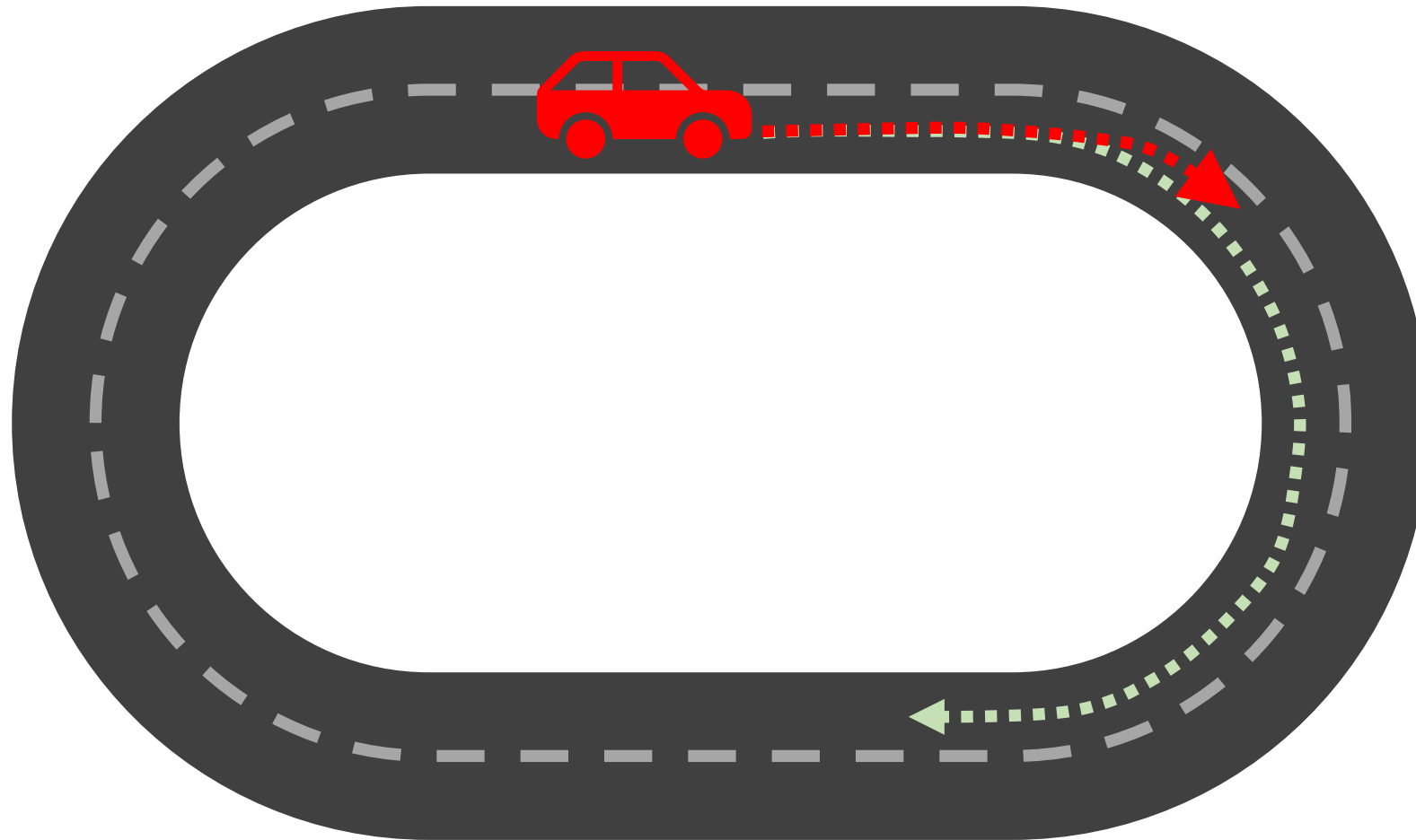
Does it work?



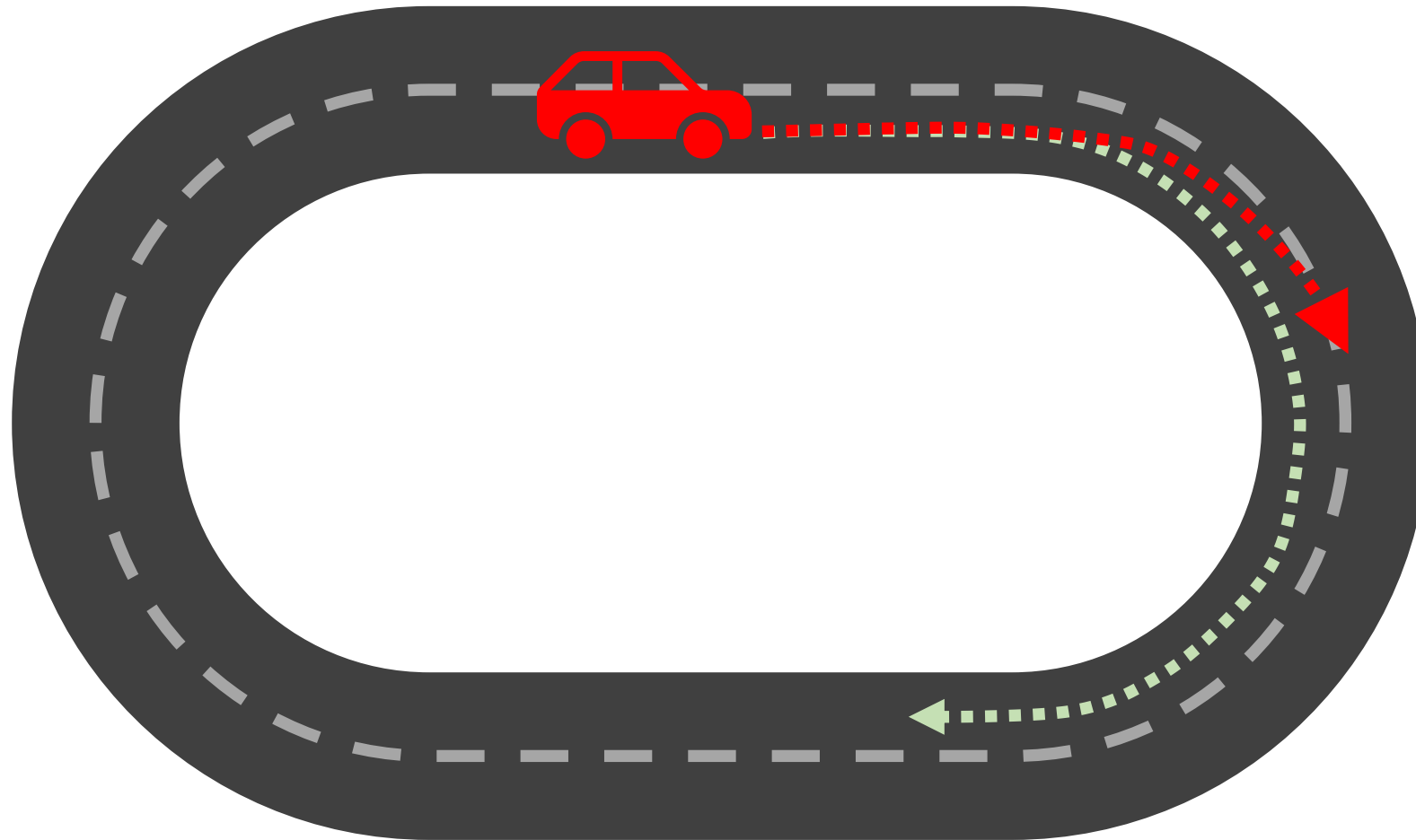
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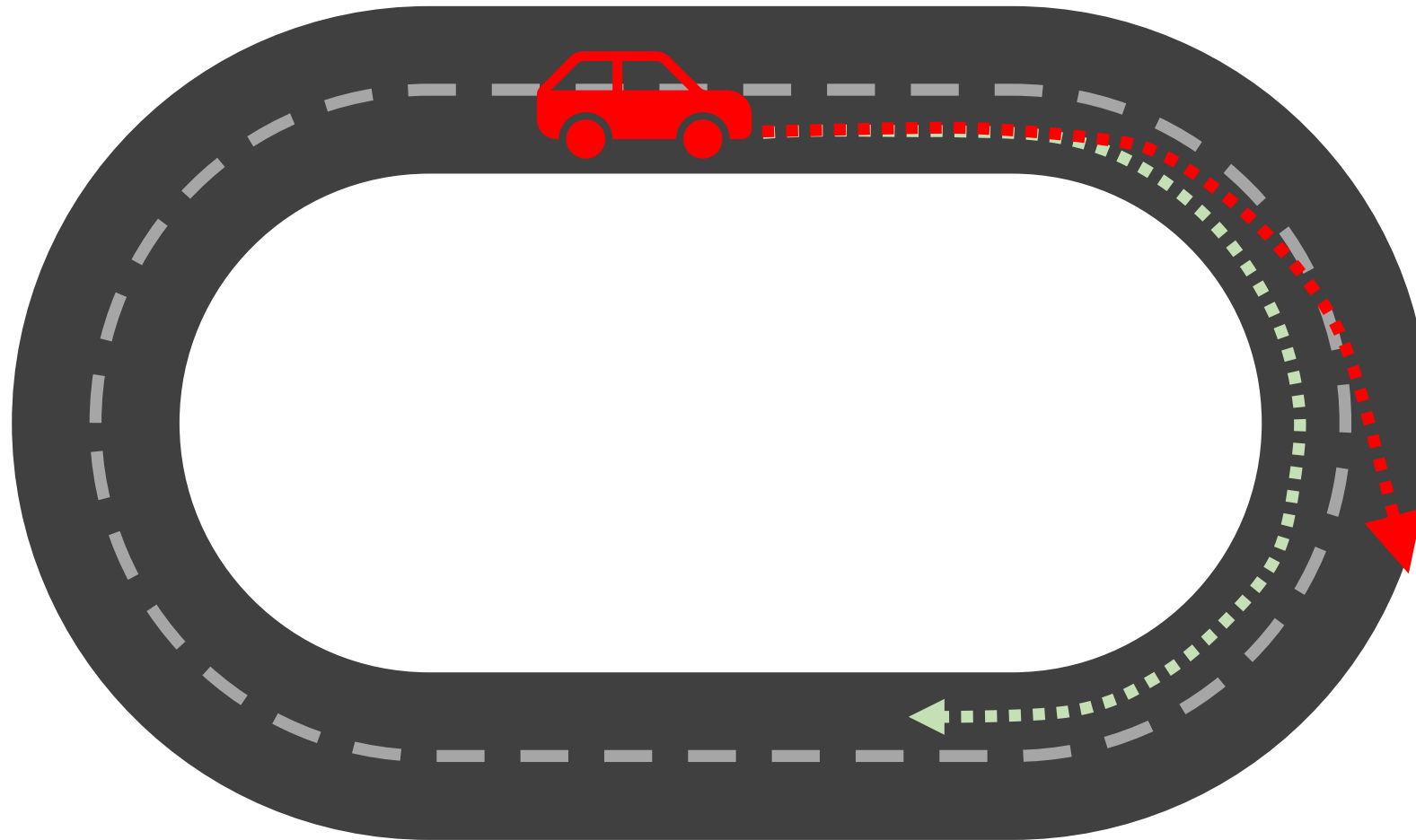
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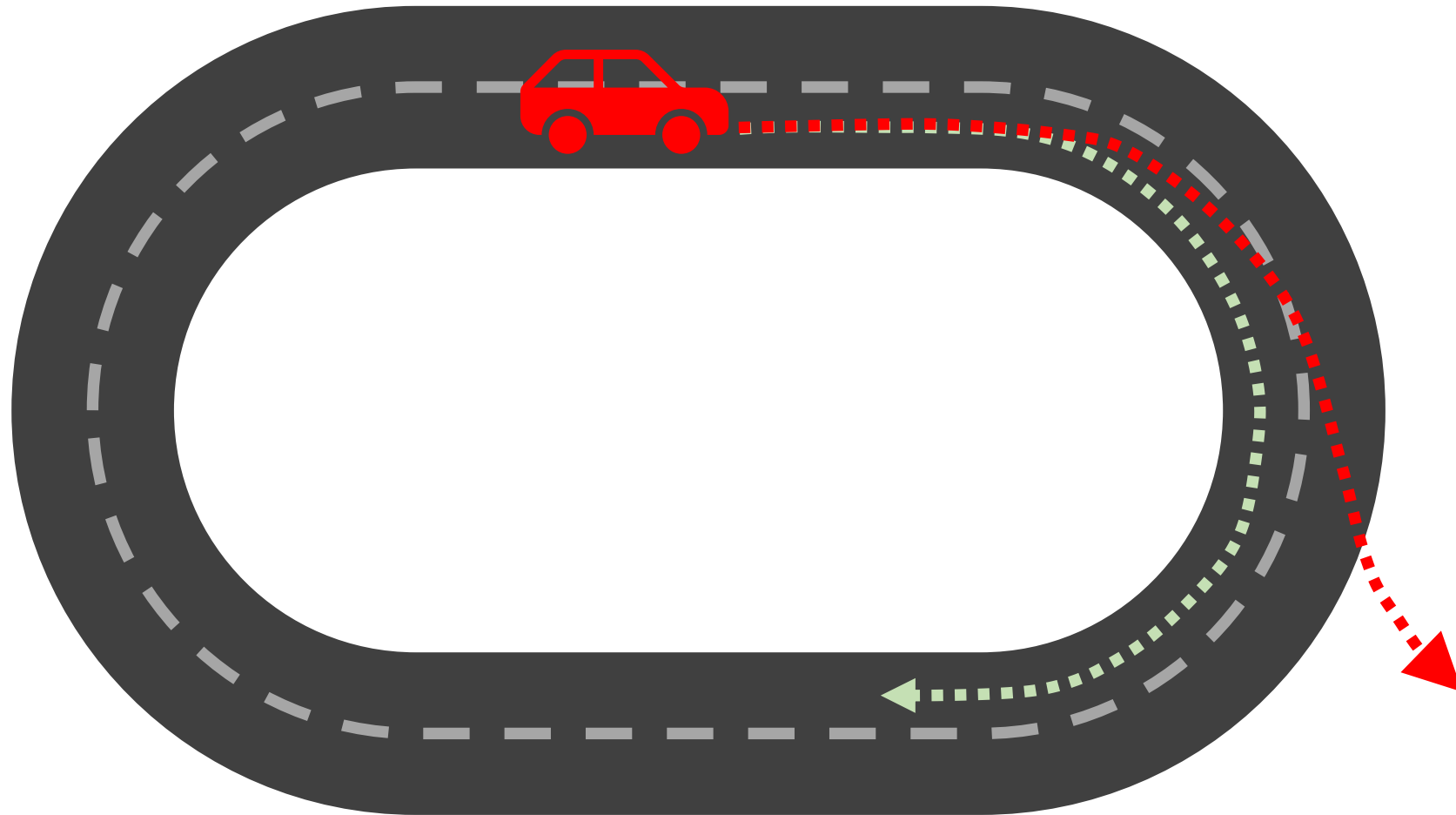
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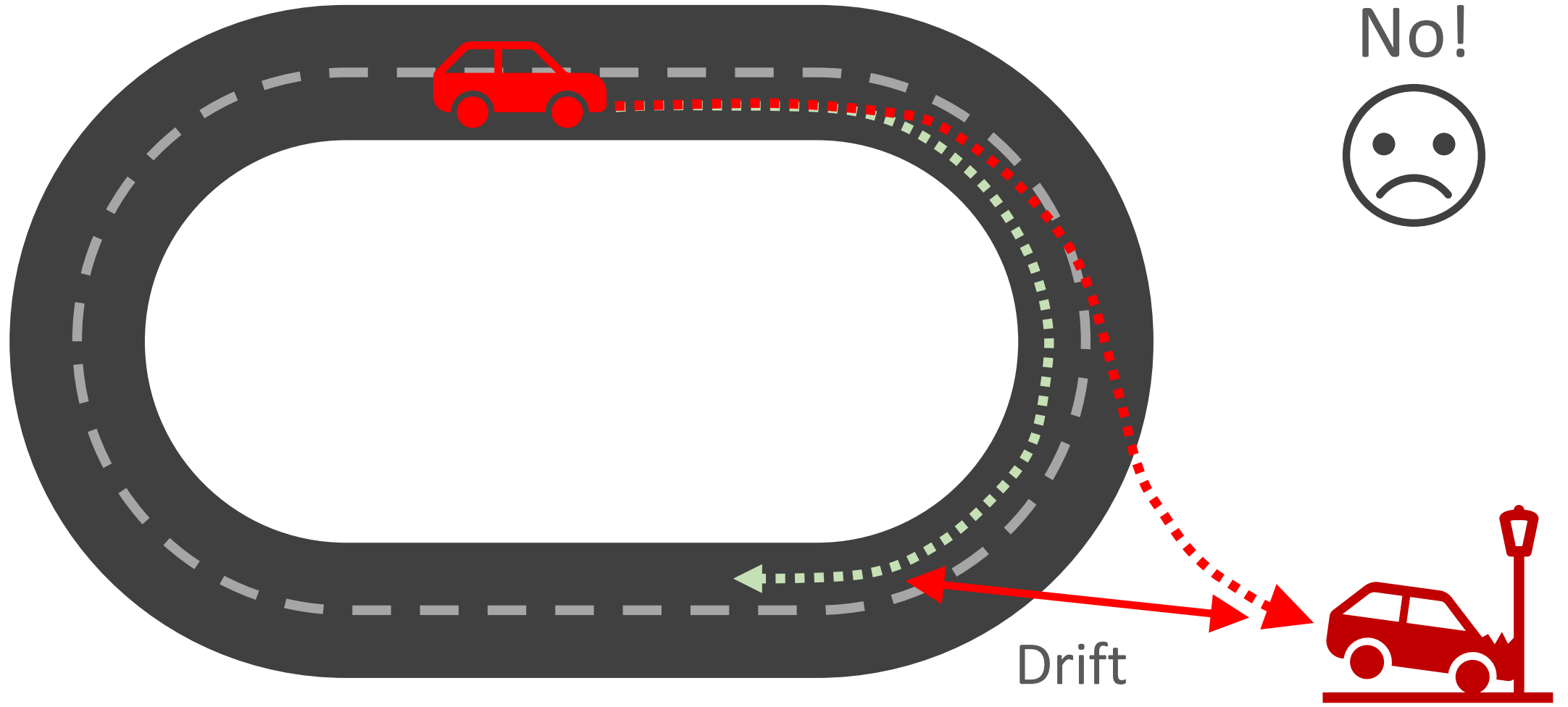
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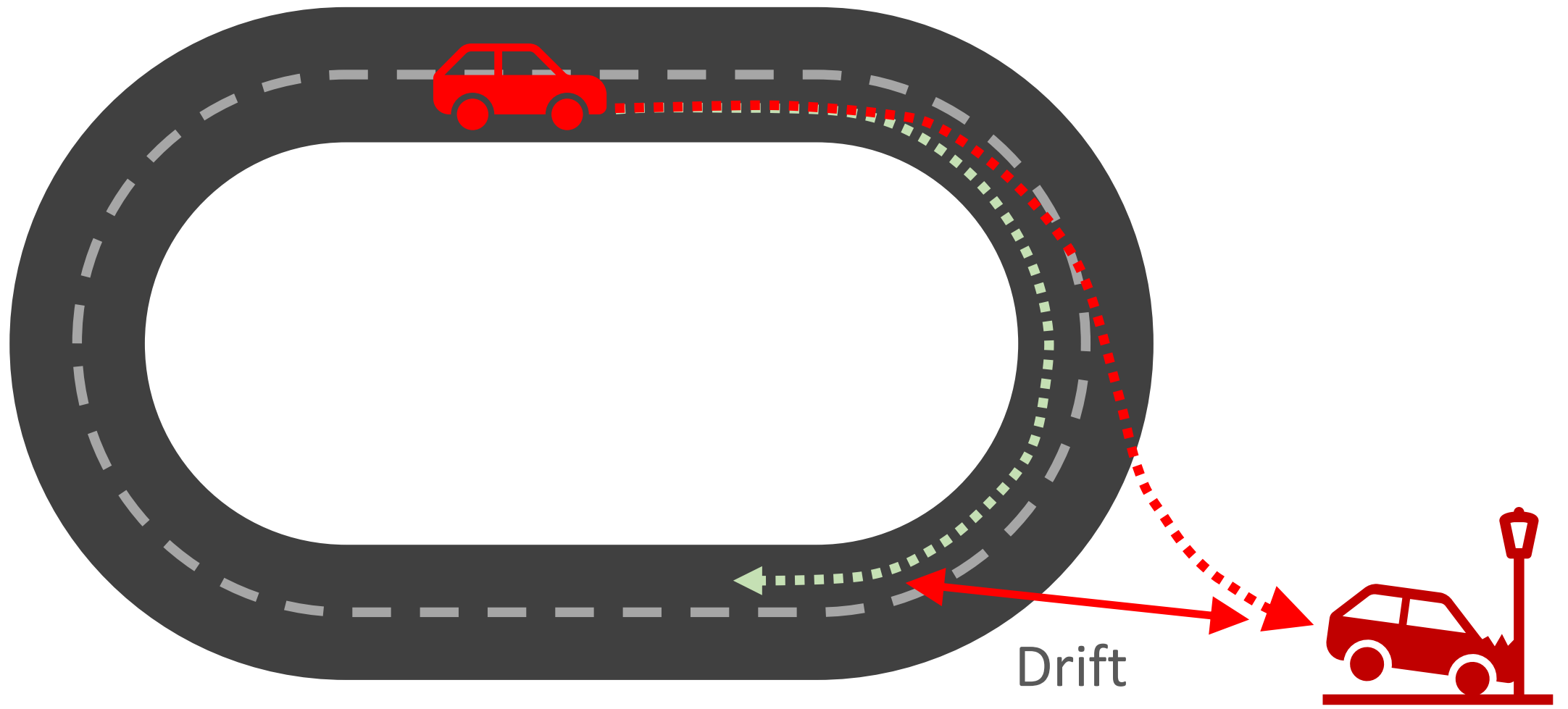
Drift

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- Lack of corrective feedback
- Policy inaccuracies
- Errors compound over time

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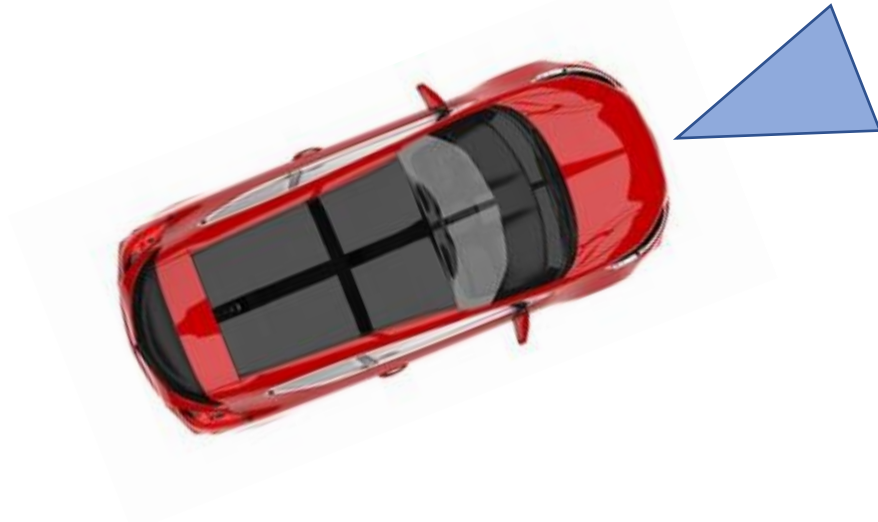
Feedback



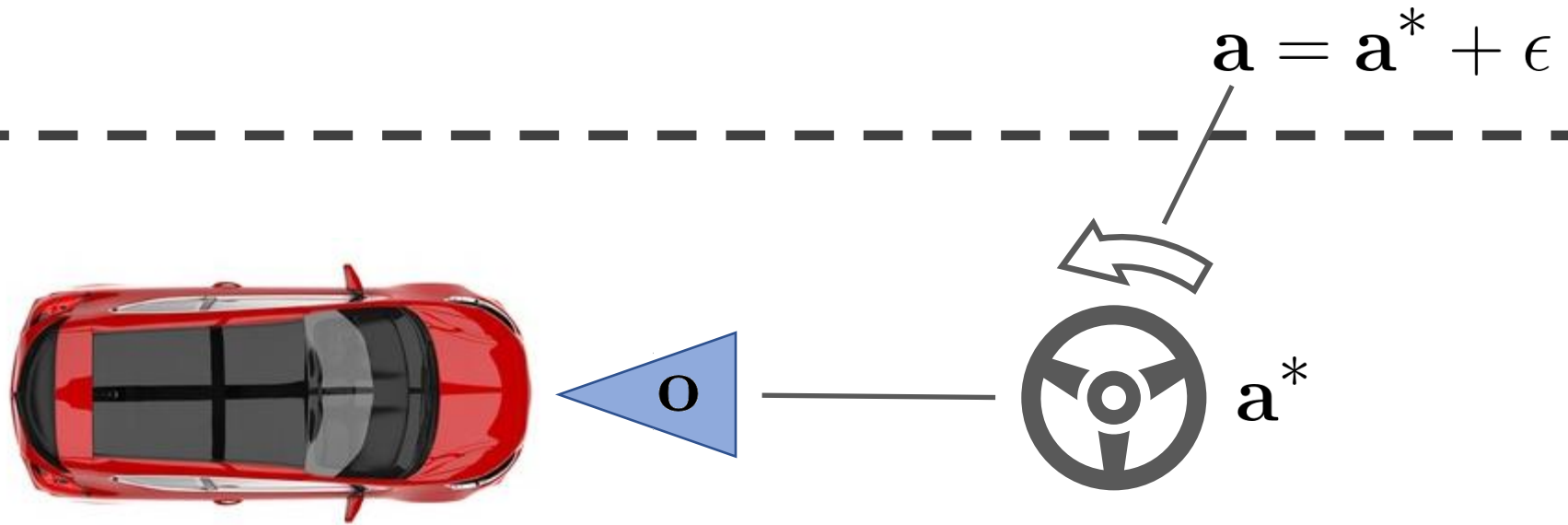
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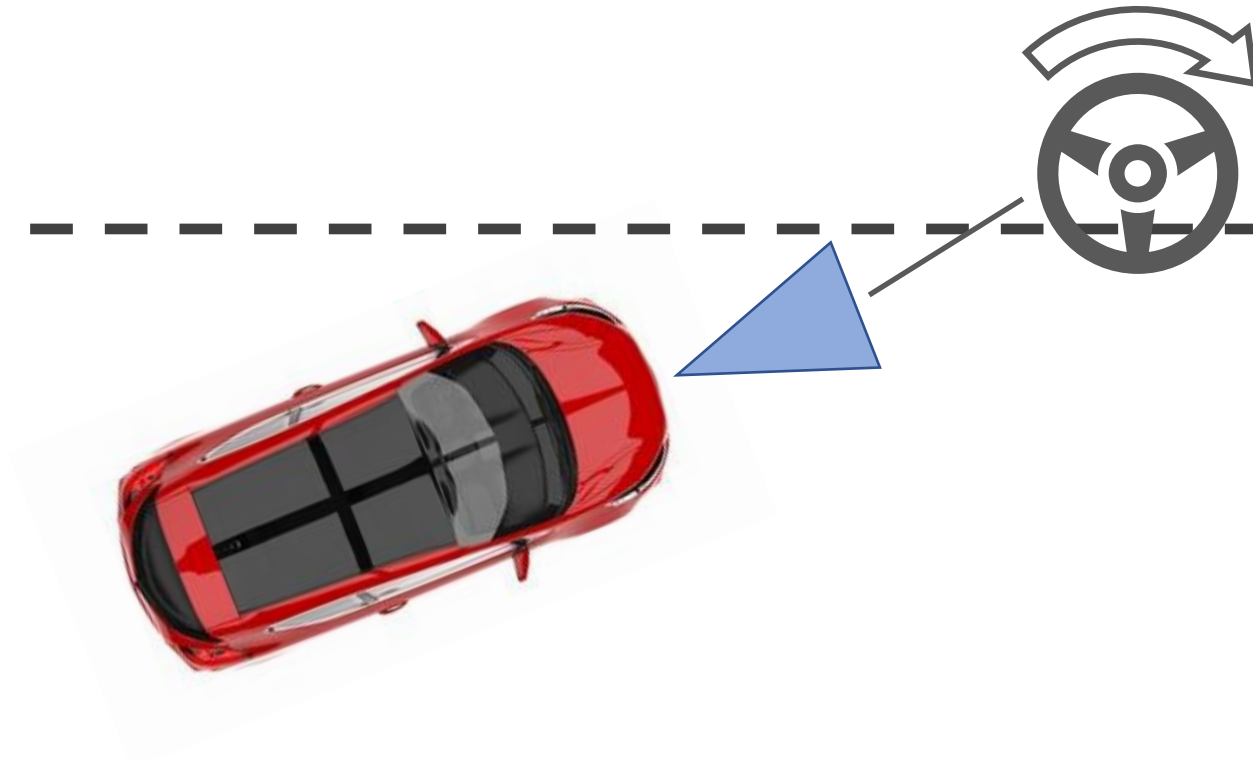


Noise Injection



DART: Noise Injection for Robust Imitation Learning
[Laskey et al. 2017]

Noise Injection



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Noise Injection

ALGORITHM 2: BC with Noise Injection

- 1: $\mathcal{D} \leftarrow \emptyset$ initialize dataset
 - 2: **for** timestep t **do**
 - 3: $\mathbf{o}_t \leftarrow$ record observation
 - 4: $\mathbf{a}_t^* \leftarrow$ query expert for an action
 - 5: $\epsilon_t \leftarrow$ sample noise
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 - 7: Apply \mathbf{a}_t to environment
 - 8: Store $(\mathbf{o}_t, \mathbf{a}_t^*)$ in dataset \mathcal{D}
 - 9: **end for**
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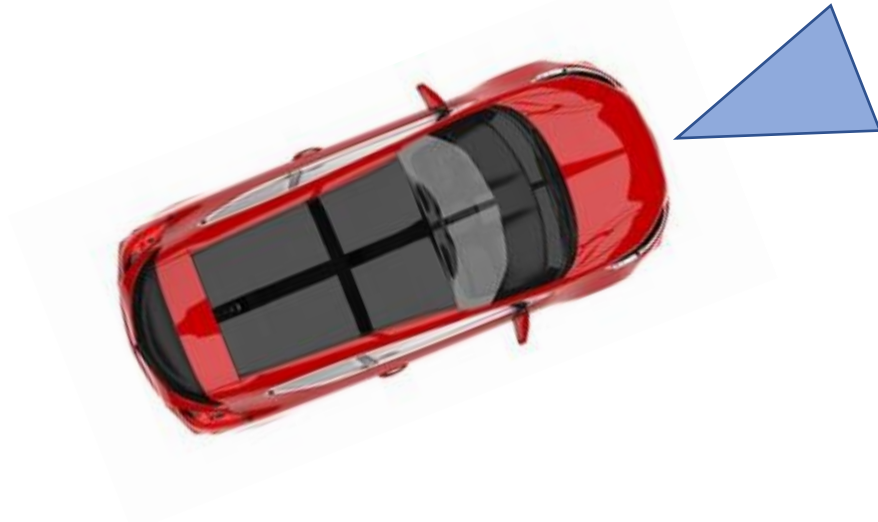
Noise Injection

- ✓ Simple method to get corrective feedback
- ✓ Can work well in practice
- ✗ Dangerous for expert!
- ✗ Difficult to pick effective perturbations

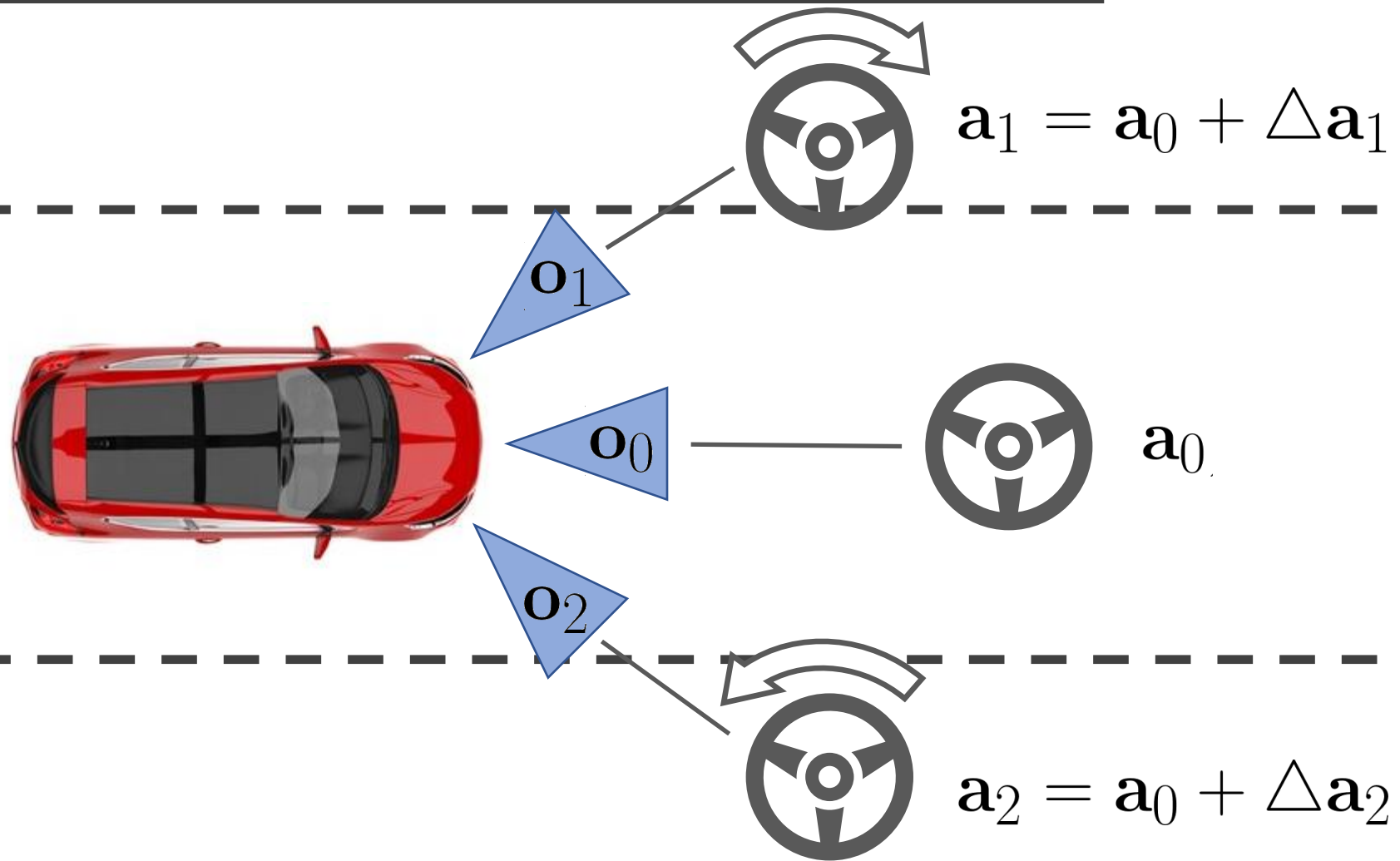
Data Augmentation



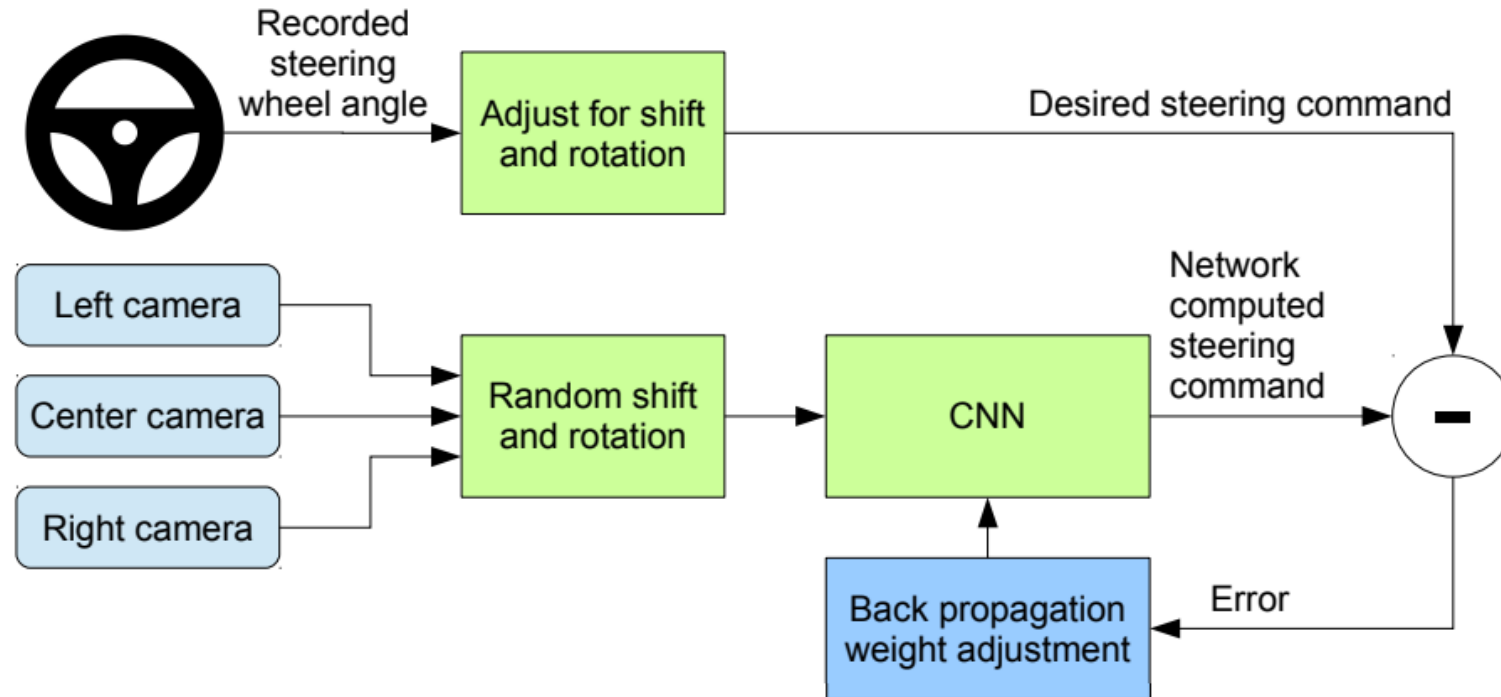
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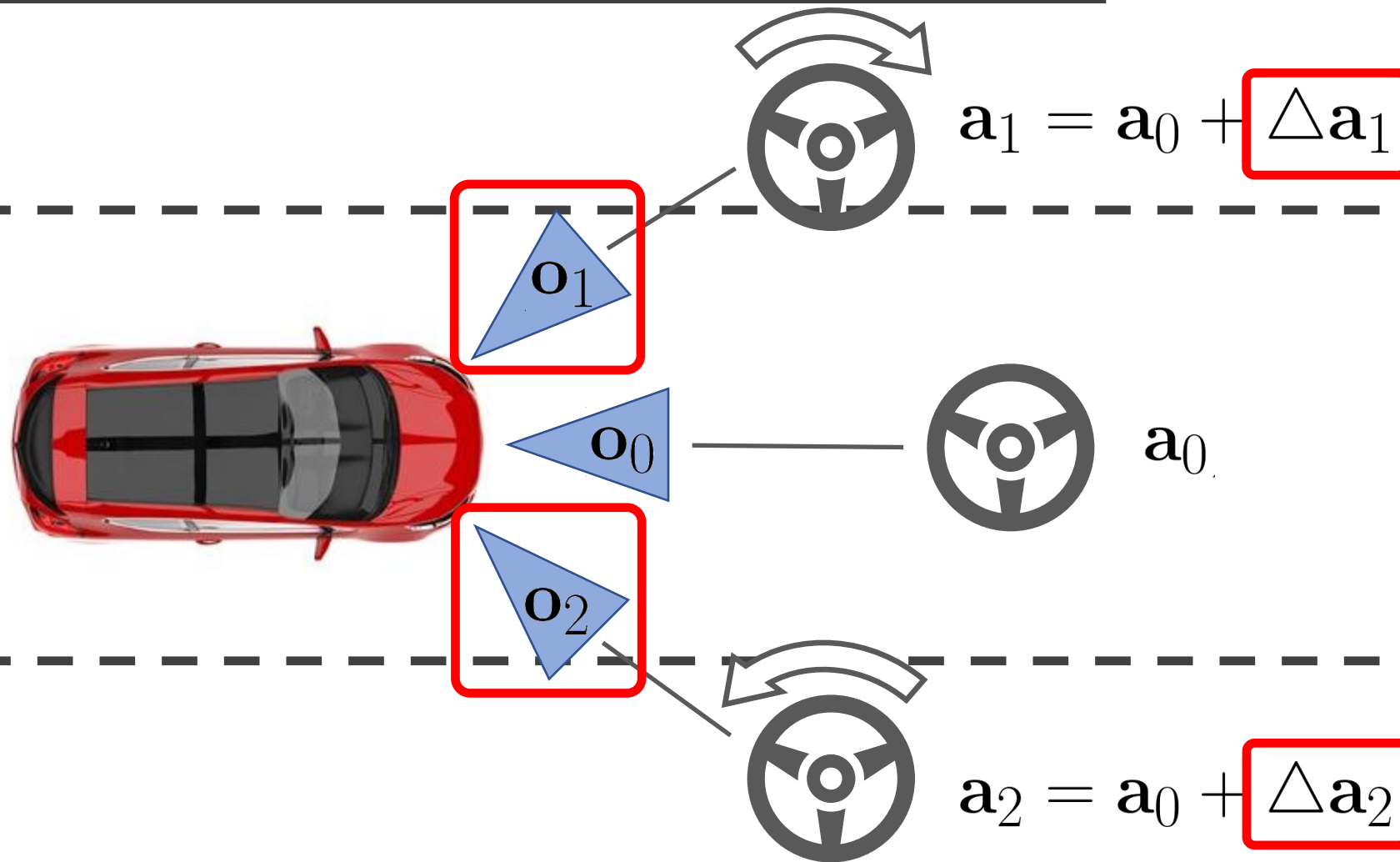
End to End Learning for Self-Driving Cars
[Bojarski et al. 2016]

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Data Augmentation



Drift

- Expert is too good
- Lack of corrective feedback
- Policy inaccuracies
- Errors compound over time

Theoretical Analysis

Analyze the number of mistakes π makes over time

Theorem 1. The number of mistakes grow $O(\epsilon T^2)$

Theoretical Analysis

Given dataset sampled from $p_{\text{data}}(\mathbf{s}, \mathbf{a})$

$$\min_{\pi} \mathbb{E}_{(\mathbf{s}, \mathbf{a}) \sim p_{\text{data}}(\mathbf{s}, \mathbf{a})} [-\log \pi(\mathbf{a} | \mathbf{s})]$$

Such that

$$\pi(\mathbf{a} \neq \pi^*(\mathbf{s}) | \mathbf{s}) \leq \epsilon \text{ for all } \mathbf{s} \sim p_{\text{data}}(\mathbf{s})$$

i.e. the probability of π making a mistake is bounded.

$$\text{Cost: } c(\mathbf{s}, \mathbf{a}) = \begin{cases} 0 & \text{if } \mathbf{a} = \pi^*(\mathbf{s}) \\ 1 & \text{otherwise} \end{cases}$$

Theoretical Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s}) | \mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$\underline{p_{\pi}^t(\mathbf{s})} = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s})$$

probability of being in \mathbf{s} after following π for t timesteps

Theoretical Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s}) | \mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s})$$

no mistakes in t timesteps

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$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t \underbrace{p_{\text{data}}^t(\mathbf{s})}_{\text{no mistakes in } t \text{ timesteps}} + \underbrace{(1 - (1 - \epsilon)^t)}_{\text{at least 1 mistakes in } t \text{ timesteps}} \underbrace{p_{\text{mistake}}^t(\mathbf{s})}_{\text{at least 1 mistakes in } t \text{ timesteps}}$$

no mistakes in t timesteps

at least 1 mistakes in t timesteps

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$$\sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]$$

expected cost

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$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - \underbrace{p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s})}_{= 0} \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \end{aligned}$$

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Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s})$$

$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \underline{p_{\text{data}}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]} + \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \end{aligned}$$

Theoretical Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s})$$

$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} p_{\text{data}}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] + \sum_t \sum_{\mathbf{s}} \underbrace{\left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right)} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \end{aligned}$$

Theoretical Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s})$$

$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} p_{\text{data}}^t(\mathbf{s}) \underbrace{\mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]}_{\leq \epsilon} + \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \end{aligned}$$

Theoretical Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

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$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \underbrace{\sum_t \sum_{\mathbf{s}} p_{\text{data}}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]}_{\leq \epsilon} + \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \end{aligned}$$

Theoretical Analysis

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$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \underbrace{\sum_t \sum_{\mathbf{s}} p_{\text{data}}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]}_{\leq \epsilon T} + \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \end{aligned}$$

Theoretical Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s})$$

$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) + p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &= \sum_t \sum_{\mathbf{s}} p_{\text{data}}^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] + \sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \epsilon T + \underbrace{\sum_t \sum_{\mathbf{s}} \left(p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]}_{?} \end{aligned}$$

Theoretical Analysis

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) = \sum_{\mathbf{s}} (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) + \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - \underline{(1-\epsilon)^t p_{\text{data}}^t(\mathbf{s})} = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

Theoretical Analysis

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) = \sum_{\mathbf{s}} (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) + \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s})$$

Theoretical Analysis

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) = \sum_{\mathbf{s}} (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) + \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - \underline{(1-\epsilon)^t p_{\text{data}}^t(\mathbf{s})} - \underline{\left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s})} = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s})$$

Theoretical Analysis

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) = \sum_{\mathbf{s}} (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) + \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s})$$

Theoretical Analysis

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) = \sum_{\mathbf{s}} (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) + \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) = \left(1 - (1-\epsilon)^t\right) \sum_{\mathbf{s}} p_{\text{mistake}}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s})$$

$$\leq \left(1 - (1-\epsilon)^t\right) \underbrace{\sum_{\mathbf{s}} \left| p_{\text{mistake}}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right|}_{\text{total variation distance} \leq 2}$$

total variation distance ≤ 2

Theoretical Analysis

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) = \sum_{\mathbf{s}} (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) + \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - (1-\epsilon)^t p_{\text{data}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s}) = \sum_{\mathbf{s}} \left(1 - (1-\epsilon)^t\right) p_{\text{mistake}}^t(\mathbf{s}) - \left(1 - (1-\epsilon)^t\right) p_{\text{data}}^t(\mathbf{s})$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) = \left(1 - (1-\epsilon)^t\right) \sum_{\mathbf{s}} p_{\text{mistake}}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s})$$

$$\leq \left(1 - (1-\epsilon)^t\right) \sum_{\mathbf{s}} \left| p_{\text{mistake}}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right|$$

$$\leq 2 \left(1 - (1-\epsilon)^t\right)$$

$$\leq 2\epsilon t$$

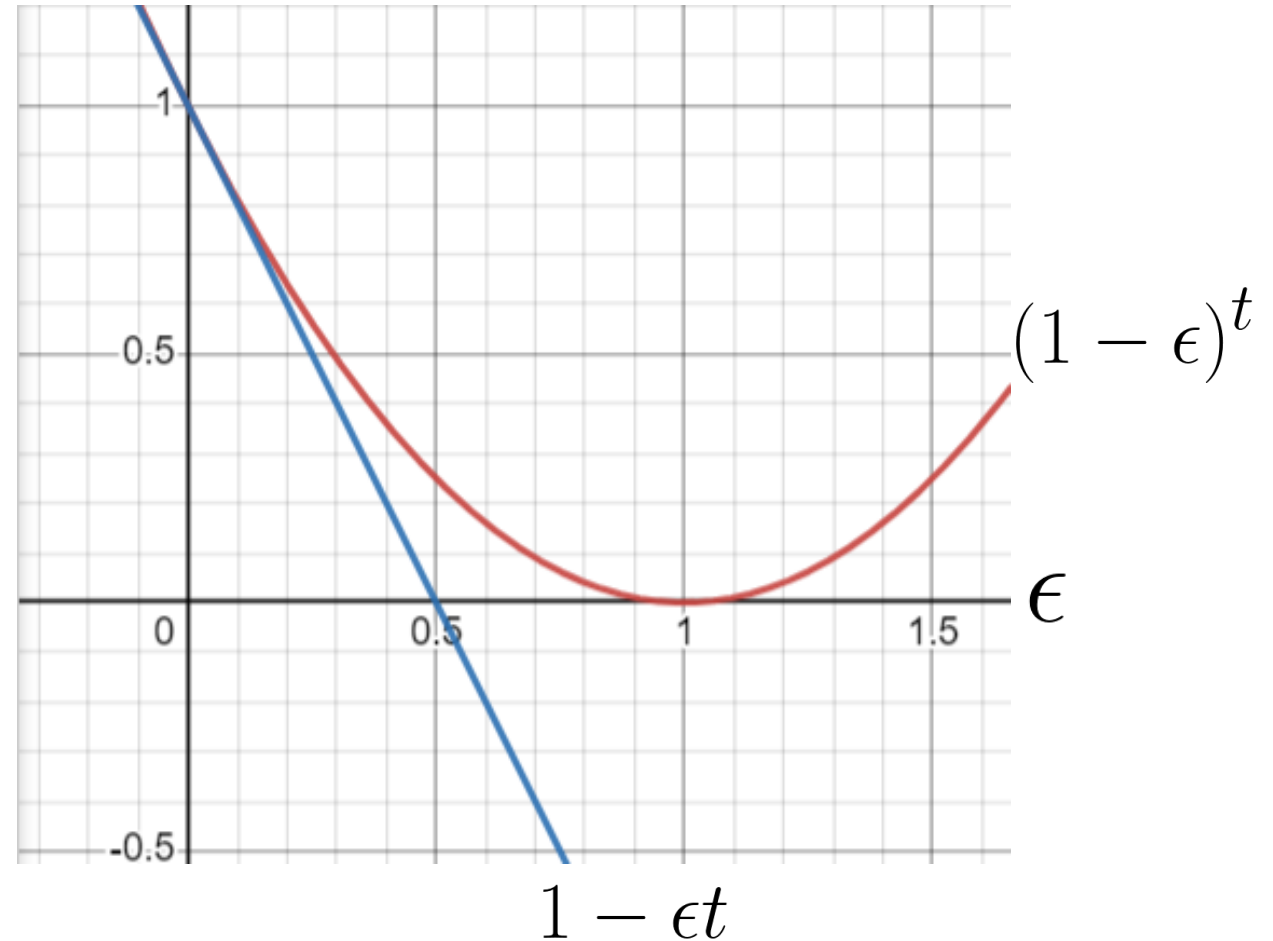
Note: $(1-\epsilon)^t \geq 1 - \epsilon t$ for $\epsilon \in [0, 1]$

Theoretical Analysis

$$\begin{aligned}\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) &\leq 2 \left(1 - (1 - \epsilon)^t\right) \\ &\leq 2(1 - (1 - \epsilon t)) \\ &\leq 2\epsilon t\end{aligned}$$

$$\sum_{\mathbf{s}} p_{\pi}^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \leq 2\epsilon t$$

Note: $(1 - \epsilon)^t \geq 1 - \epsilon t$ for $\epsilon \in [0, 1]$



Theoretical Analysis

$$\begin{aligned} \sum_t \mathbb{E}_{p_\pi^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_\pi^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \epsilon T + \underbrace{\sum_t \sum_{\mathbf{s}} \left(p_\pi^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right)}_{\leq 2\epsilon t} \underbrace{\mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]}_{\leq 1} \end{aligned}$$

Theoretical Analysis

$$\begin{aligned} \sum_t \mathbb{E}_{p_\pi^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_\pi^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \epsilon T + \sum_t \sum_{\mathbf{s}} \left(p_\pi^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \epsilon T + \sum_t \underline{2\epsilon t} \end{aligned}$$

Theoretical Analysis

$$\begin{aligned} \sum_t \mathbb{E}_{p_\pi^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \sum_{\mathbf{s}} p_\pi^t(\mathbf{s}) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \epsilon T + \sum_t \sum_{\mathbf{s}} \left(p_\pi^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \epsilon T + \sum_t 2\epsilon t \\ &\leq \epsilon T + 2\epsilon T^2 \in \boxed{O(\epsilon T^2)} \end{aligned}$$

Worst Case

$$\sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \leq \epsilon T + 2\epsilon T^2$$



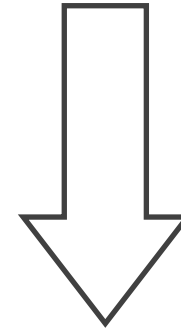
Worst Case

$$\sum_t \mathbb{E}_{p_\pi^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \leq \epsilon T + 2\epsilon T^2$$



Distribution Shift

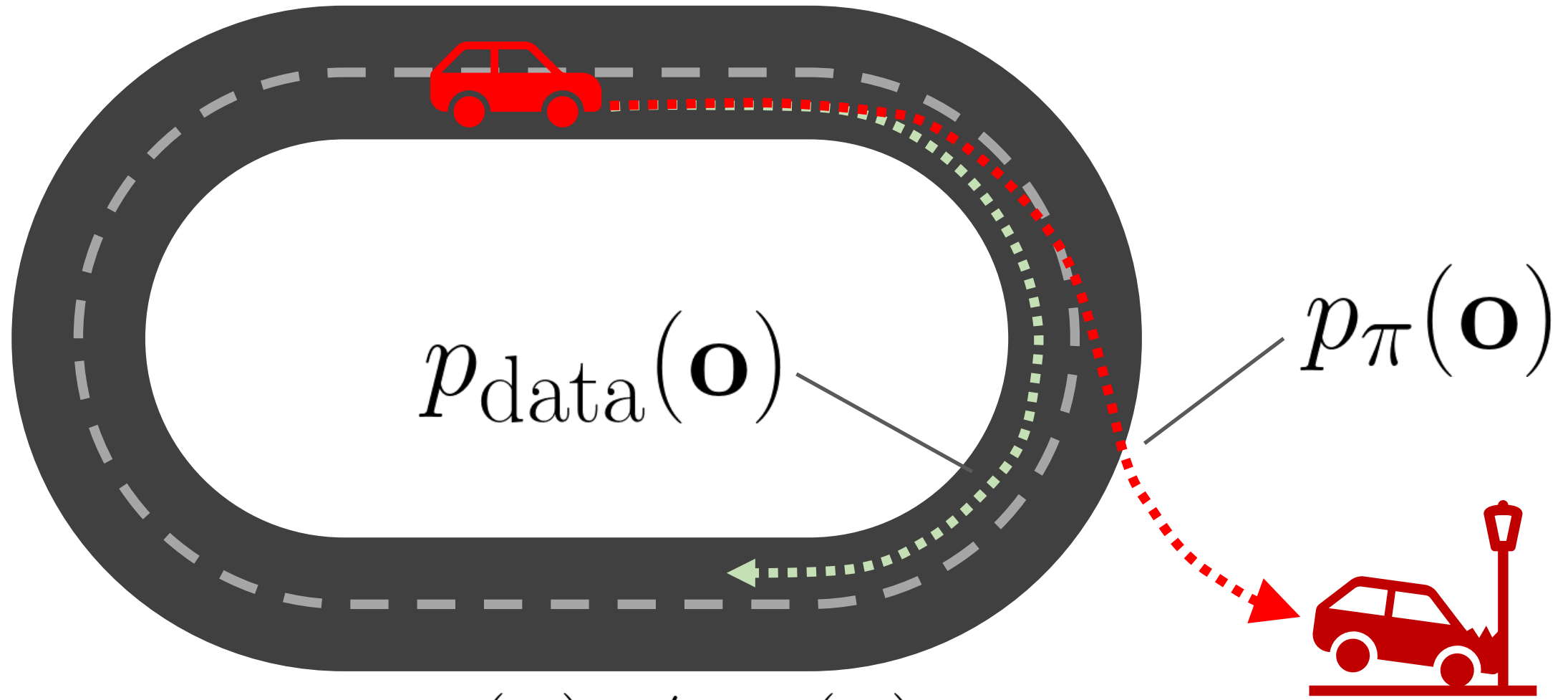
$$\sum_t \mathbb{E}_{p_\pi^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \leq \epsilon T + 2\epsilon T^2$$



$$\sum_t \mathbb{E}_{p_\pi^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \leq \epsilon T + \sum_t \sum_{\mathbf{s}} \left(p_\pi^t(\mathbf{s}) - p_{\text{data}}^t(\mathbf{s}) \right) \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]$$

$$p_\pi^t(\mathbf{s}) \neq p_{\text{data}}^t(\mathbf{s})$$

Distribution Shift



$$p_{\text{data}}(\mathbf{o}) \neq p_{\pi}(\mathbf{o})$$

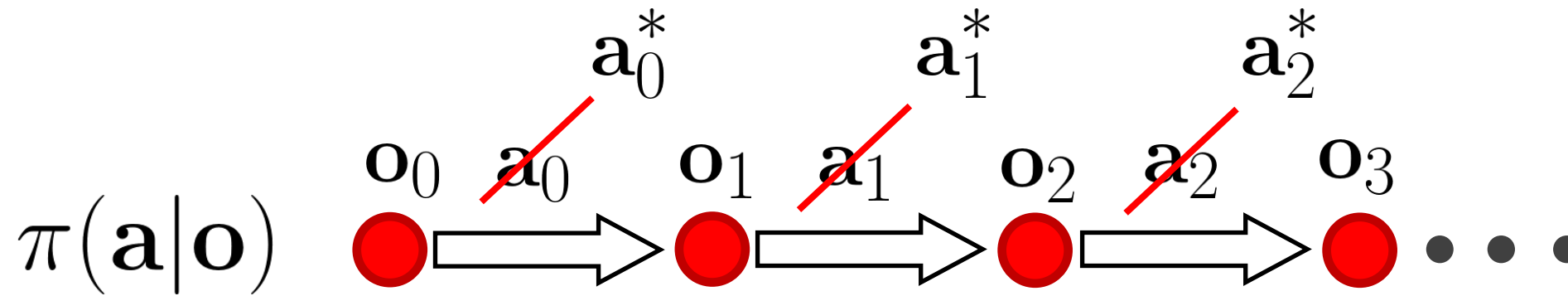
Dataset Aggregation

Can we make $p_{\text{data}}(\mathbf{o}) = p_{\pi}(\mathbf{o})$?

Key idea:

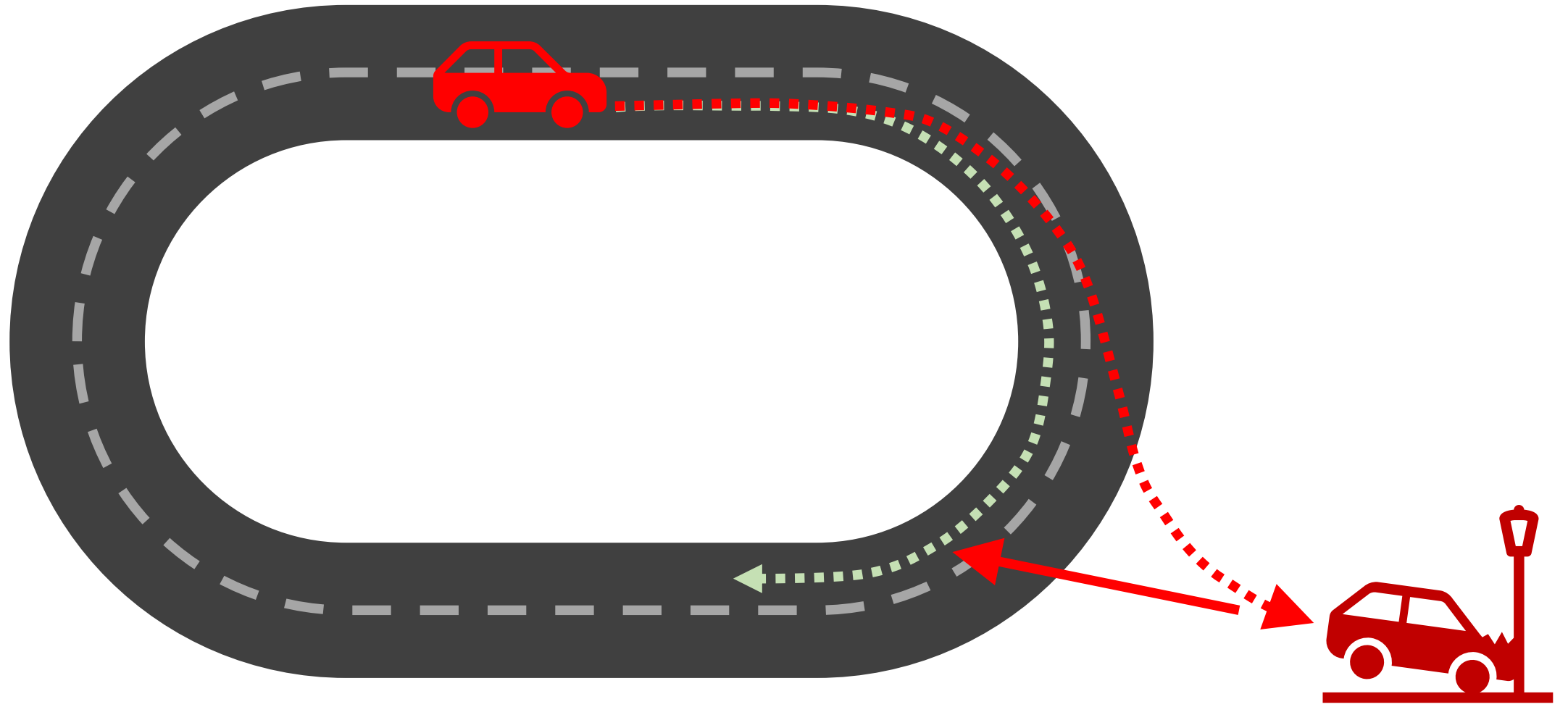
- Collect observations from $p_{\pi}(\mathbf{o})$ instead of $p_{\text{data}}(\mathbf{o})$
- Label actions with expert
- DAgger: Dataset Aggregation [Ross et al. 2011]

DAgger



Train with $(\mathbf{o}_i, \mathbf{a}_i^*)$

Dagger



DAgger

ALGORITHM: DAgger

- 1: **for** iteration $i = 0, \dots, k - 1$ **do**
 - 2: train $\pi(\mathbf{a}|\mathbf{o})$ from dataset $\mathcal{D} = \{\mathbf{o}_0, \mathbf{a}_0, \mathbf{o}_1, \mathbf{a}_0, \dots\}$
 - 3: run $\pi(\mathbf{a}|\mathbf{o})$ to collect dataset $\mathcal{D}_\pi = \{\mathbf{o}_0, \mathbf{o}_1, \dots\}$
 - 4: Label \mathcal{D}_π with actions \mathbf{a}_i from expert
 - 5: Aggregate datasets: $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}_\pi$
 - 6: **end for**
-

DAgger

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 - 6: **end for**
-

A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning
[Ross et al. 2011]

DAgger

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DAgger

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DAgger

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 - 3: run $\pi(\mathbf{a}|\mathbf{o})$ to collect dataset $\mathcal{D}_\pi = \{\mathbf{o}_0, \mathbf{o}_1, \dots\}$
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 - 6: **end for**
-

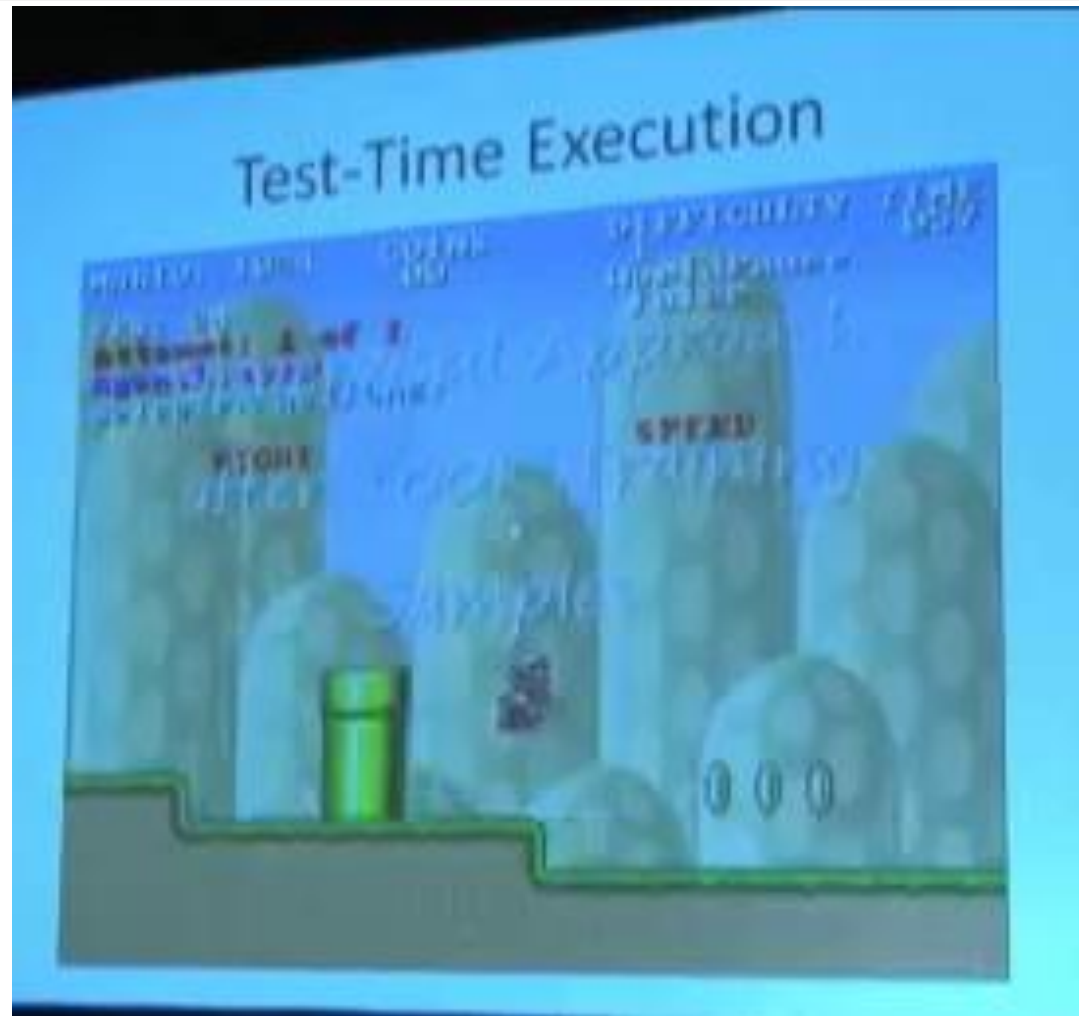
A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning
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Dagger



A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning
[Ross et al. 2011]

Dagger



A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning
[Ross et al. 2011]

Dagger Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\text{data}}(\mathbf{s}) = p_{\pi}(\mathbf{s})!$$

$$p_{\pi}^t(\mathbf{s}) = (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) \underline{p_{\text{mistake}}^t(\mathbf{s})}$$
$$= p_{\text{data}}^t(\mathbf{s})$$

Dagger Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s}) | \mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\text{data}}(\mathbf{s}) = p_{\pi}(\mathbf{s})!$$

$$\begin{aligned} p_{\pi}^t(\mathbf{s}) &= (1 - \epsilon)^t p_{\text{data}}^t(\mathbf{s}) + (1 - (1 - \epsilon)^t) p_{\text{mistake}}^t(\mathbf{s}) \\ &= p_{\text{data}}^t(\mathbf{s}) \end{aligned}$$

Dagger Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\text{data}}(\mathbf{s}) = p_{\pi}(\mathbf{s})!$$

$$p_{\pi}^t(\mathbf{s}) = p_{\text{data}}^t(\mathbf{s})$$

$$\sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] = \sum_t \mathbb{E}_{p_{\text{data}}^t(\mathbf{s})} \underbrace{\mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})]}_{\leq \epsilon}$$

Dagger Analysis

Assume: $\pi(\mathbf{a} \neq \pi^*(\mathbf{s})|\mathbf{s}) \leq \epsilon$ for all $\mathbf{s} \sim p_{\text{data}}(\mathbf{s})$

$$p_{\text{data}}(\mathbf{s}) = p_{\pi}(\mathbf{s})!$$

$$p_{\pi}^t(\mathbf{s}) = p_{\text{data}}^t(\mathbf{s})$$

$$\begin{aligned} \sum_t \mathbb{E}_{p_{\pi}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] &= \sum_t \mathbb{E}_{p_{\text{data}}^t(\mathbf{s})} \mathbb{E}_{\pi(\mathbf{a}|\mathbf{s})} [c(\mathbf{s}, \mathbf{a})] \\ &\leq \sum_t \epsilon \\ &\leq \epsilon T \in O(\epsilon T) \end{aligned}$$

DAgger

ALGORITHM: DAgger

- 1: **for** iteration $i = 0, \dots, k - 1$ **do**
 - 2: train $\pi(\mathbf{a}|\mathbf{o})$ from expert dataset $\mathcal{D} = \{\mathbf{o}_0, \mathbf{a}_0, \mathbf{o}_1, \mathbf{a}_0, \dots\}$
 - 3: run $\pi(\mathbf{a}|\mathbf{o})$ to collect dataset $\mathcal{D}_\pi = \{\mathbf{o}_0, \mathbf{o}_1, \dots\}$
 - 4: Label \mathcal{D}_π with actions \mathbf{a}_i from expert
 - 5: Aggregate datasets: $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}_\pi$
 - 6: **end for**
-

A Reduction of Imitation Learning and Structured Prediction to No-Regret Online Learning
[Ross et al. 2011]

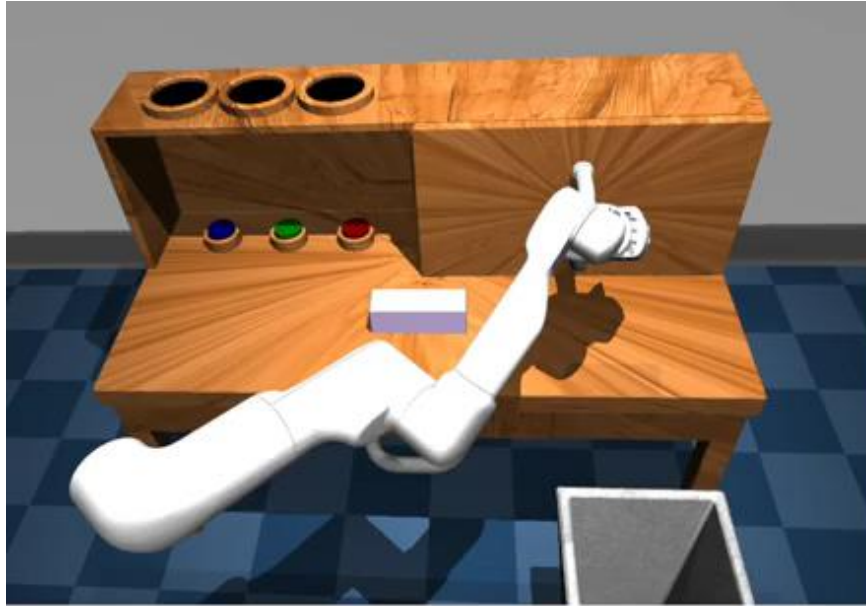
DAgger

ALGORITHM: DAgger

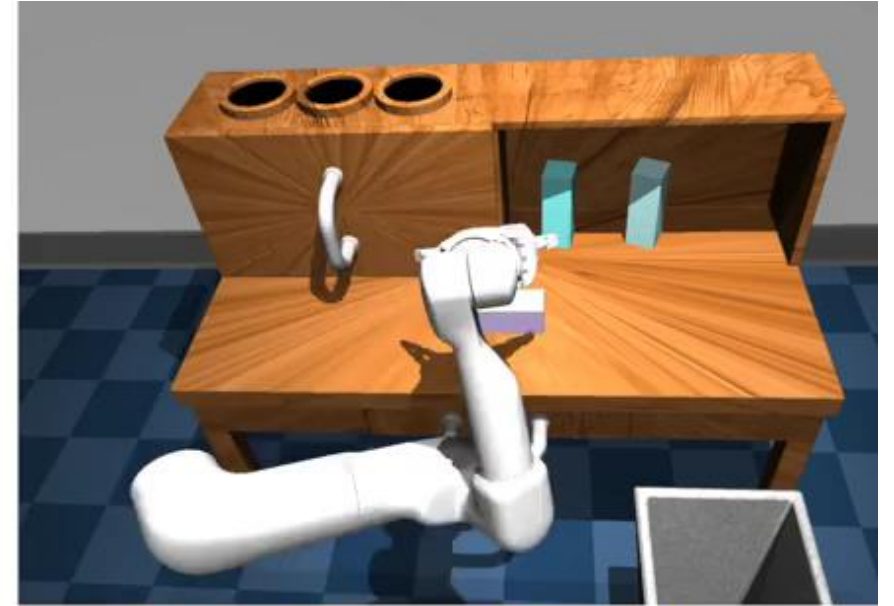
- 1: **for** iteration $i = 0, \dots, k - 1$ **do**
 - 2: train $\pi(\mathbf{a}|\mathbf{o})$ from expert dataset $\mathcal{D} = \{\mathbf{o}_0, \mathbf{a}_0, \mathbf{o}_1, \mathbf{a}_0, \dots\}$
 - 3: run $\pi(\mathbf{a}|\mathbf{o})$ to collect dataset $\mathcal{D}_\pi = \{\mathbf{o}_0, \mathbf{o}_1, \dots\}$
 - 4: Label \mathcal{D}_π with actions \mathbf{a}_i from expert
 - 5: Aggregate datasets: $\mathcal{D} \leftarrow \mathcal{D} \cup \mathcal{D}_\pi$
 - 6: **end for**
-

Applications

Applications



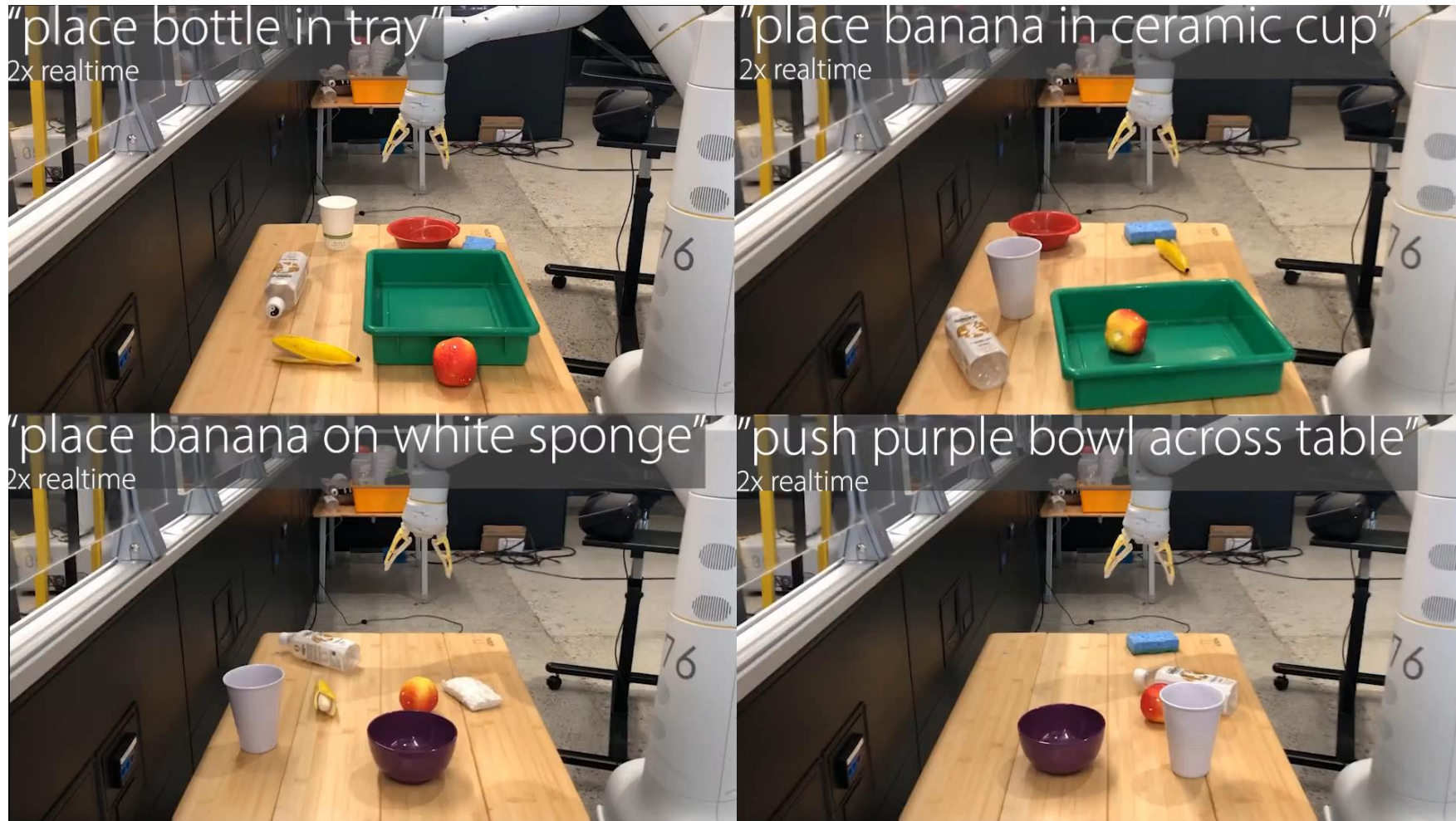
Goal



Single Play-LMP policy

Learning Latent Plans from Play
[Lynch et al. 2019]

Applications



BC-Z: Zero-Shot Task Generalization with Robotic Imitation Learning
[Jang et al. 2021]

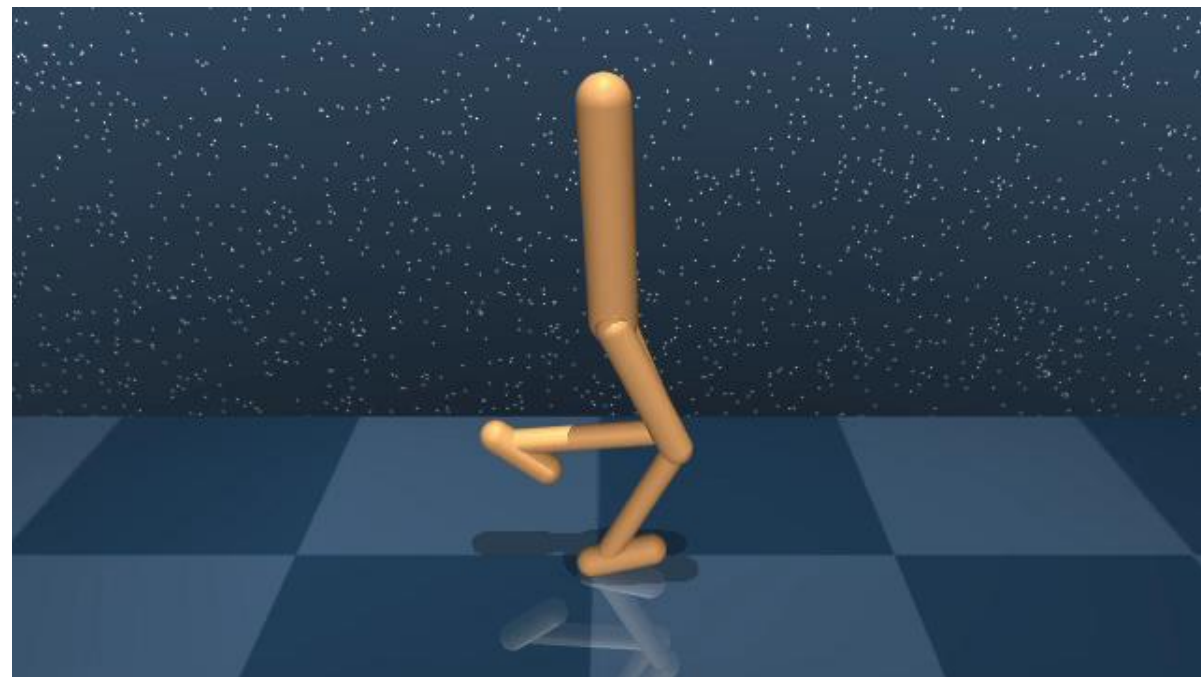
Summary

- Behavioral Cloning
- Drift
- Theoretical Analysis
- DAgger
- Applications

Assignment 1: Behavioral Cloning



Cheetah



Walker

Assignment 1: Behavioral Cloning

The screenshot shows the GitHub interface for the repository 'xbpeng / rl_assignments'. At the top, there is a search bar and navigation links for Pull requests, Issues, Codespaces, Marketplace, and Explore. The repository name is 'xbpeng / rl_assignments' with a 'Public' badge. Below the repository name, there are buttons for Pin, Unwatch (2), Fork (3), and Star (3). A secondary navigation bar includes links for Code, Issues, Pull requests, Actions, Projects, Wiki, Security, Insights, and Settings. The main content area shows the repository's file structure and commit history. The commit history table lists a commit by Jason Peng titled 'fixing potential loading issueg' with 4 commits, and a list of files and folders including 'a1', 'data', 'envs', 'learning', 'tools', 'util', '.gitignore', 'LICENSE', and 'README.md'. On the right side, there is an 'About' section with a gear icon, stating 'No description, website, or topics provided.' Below this are sections for 'Releases' (No releases published) and 'Packages'.

main 1 branch 0 tags

Go to file Add file Code

Commit Message	SHA-1	Time	Commits
Jason Peng fixing potential loading issueg	55b171e	19 hours ago	4 commits

File/Folder	Branch	Time
a1	a1	2 days ago
data	a1	2 days ago
envs	a1	2 days ago
learning	fixing potential loading issueg	19 hours ago
tools	a1	2 days ago
util	a1	2 days ago
.gitignore	a1	2 days ago
LICENSE	a1	2 days ago
README.md	readme	2 days ago

About

No description, website, or topics provided.

- Readme
- BSD-3-Clause license
- 3 stars
- 2 watching
- 3 forks

Releases

No releases published
[Create a new release](#)

Packages

github.com/xbpeng/rl_assignments